TRANSMITTAL LETTER Case No. 10022/26

Seriagino.
09.00,155
November 9, 2001
Not Yet Determined
2131

ELECTRONIC SECURITY SYSTEM AND SCHEME FOR A COMMUNICATIONS NETWORK

TO THE COMMISSIONER FOR PATENTS

(Exhibit	on of Facts Und	der 37 CFR (Exhibit C);	Petition Under 37 1.47(a) (including Fed: Ex s r \$1650.	Assignment	Exh	ibit A); Ar	oril 19, 200	1 letter	to Matthew	C. Klug	
	Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.										
	A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.										
	Petition for amonth extension of time. No additional fee is required. OFFICE OF PETITIONS DEPUTY A/C PATENTS The fee has been calculated as shown below:										
_						Smal	l Entity		Other Small I		
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra		Rate	Add'I Fee	or	Rate	Add'I Fee	
Total		Minus				x \$9=			x \$18=		
Indep.		Minus				x 40=			x \$80=		
First Pres	sentation of Mult	iple Dep. Cl	aim			+ \$135 =			+ \$270=		
						Total add'l fee	\$		Total add'I fee	\$	
	Please charge I		count No. 23-192! t is enclosed.	5 (BRINKS HO	FER	GILSON	& LIONE) in	the am	nount of \$	A	
	A check in the	amount of \$	to cover th	e filing fee is e	nclo	osed.					
	1.16 and any page	atent applic	by authorized to cl ation processing fe it Account No. 23-	es under 37 C	FR :	§ 1.17 ass	ociated with	this co	mmunication		
		narge any as	CFR § 1.136(a) f sociated fees which t is enclosed.	•			•			•	
				Respectfully	sul	omitted,					
				DE		13	A				

Darin E. Bartholomew
Registration No. 36,444
Attorney for Applicant

BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on <u>July 9, 2001</u>.

Date: July 9, 2001 Signature:

rev. Dec.-00

Cornelius et al.
Title of Invention



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspto.gov

FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER APPLICATION NUMBER FILING/RECEIPT DATE

09/710,155

11/09/2000

Shawn Cornelius

10022/26

BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, IL 60610



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/13/2001 GTEFFERA 00000003 09710155

01 FC:105

130,00 OP

certify that this correspondence is being deposited with nited States Postal Service, with sufficient postage, as first mail in an envelope addressed to:

Commissioner for Patents Washington, D.C. 20231 on:

July 9, 2001

Date of Deposit

Darin E. Bartholomew, Reg. No. 36,444

Name of applicant, assignee or Registered Representative

> Signature July 9, 2001

Date of Signature

Our Case No. 10022/26

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):

Cornelius et. al.

Serial No.: 09/710,155

Filing Date: November 9, 2000

Title: ELECTRONIC SECURITY

SYSTEM AND SCHEME FOR A COMMUNICATIONS NETWORK Examiner:

Not Yet Determined

Group Art Unit No.: 2131

RECEIVED

JUL 1 3 2001

OFFICE OF PETITIONS **DEPUTY A/C PATENTS**

Assistant Commissioner for Patents Washington, D.C. 20231

PETITION UNDER 37 C.F.R. § 1.47(a)

Dear Sir:

In reply to the Notice to File Missing Parts, dated January 9, 2001, the signing Applicants hereby petition to extend the period of response for four months from March 9, 2001 to July 9, 2001 under 37 C.F.R. 1.136. The applicable fee of \$1,390 under 1.17(a)(4) is enclosed. Further, signing Applicants have enclosed the surcharge fee of \$ 130 under 37 C.F.R. 1.16(e) for submission of the enclosed Declaration of the Patent Application.

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130.00 DP 01 FC:122

The enclosed Declaration for the above patent application was not signed by one listed inventor, Matthew C. Klug, even though we requested him to do so. Accordingly, pursuant to 37 C.F.R. 1.47(a) the signing Applicants hereby petition for the acceptance of the enclosed Declaration as if it were fully executed by all of the inventors set forth thereon. This petition is accompanied by a Declaration of Facts under 37 C.F.R. 1.47(a) and the applicable petition fee of \$ 130 under 37 C.F.R. 1.17(i).

The enclosed Declaration of Facts under 37 C.F.R. 1.47(a) sets forth pertinent facts demonstrating a diligent effort to provide the nonsigning inventor with the application, cover letter of instructions, the Declaration and Assignment. Further, the enclosed Declaration of Facts under 37 C.F.R. 1.47(a) sets forth pertinent facts on the nonsigning inventor's failure to execute the Declaration for the patent application. The rules of the Patent and Trademark Office, embodied in 37 C.F.R. 1.47, facilitate the continued prosecution of a patent application, despite the failure of an inventor to sign a Declaration, particularly where the inventor is no longer employed by the owner of the patent application. *Bausch & Lomb, Inc. v. Barnes-Hind/Hydrocurve, Inc.*, 230 U.S. P.Q. 416, 418 (CAFC 1986).

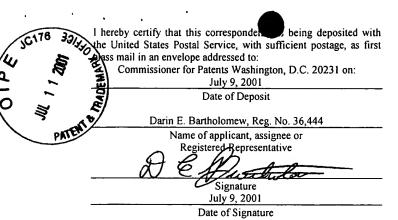
The Commissioner is hereby authorized to charge payment of any additional fees which are required or credit any overpayment made to Account No. 23-1925. A duplicate copy of this Petition is enclosed.

If there are any questions, please contact Darin E. Bartholomew at 312-321-4240.

Dated: July 9, 2001

Darin E. Bartholomew

BRINKS, HOFER, GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200



Our Case No. 10022/26

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Inventor(s):

Cornelius et. al.

Serial No.: 09/710,155

Filing Date: November 9, 2000

Title: ELECTRONIC SECURITY SYSTEM

AND SCHEME FOR A

COMMUNICATIONS NETWORK

Not Yet Determined

Group Art Unit No.: 2131

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

DECLARATION OF FACTS UNDER 37 C.F.R. § 1.47(a)

- I, Darin E. Bartholomew, a patent agent/attorney for Accenture, LLP (which is an assignee of the above-noted application as set forth in the Assignment of Exhibit A) hereby declare the following:
- 1) On April 19, 2001, I sent a letter (Exhibit B), a copy of the above patent application, a Declaration (Exhibit C) For Patent Application, and an Assignment (Exhibit A) to Matthew C. Klug, a listed inventor, at his Hudson, Ohio home address via FedEx shipping service. A copy of the letter and Declaration for Patent Application are attached hereto as Exhibit B and Exhibit C, respectively.

2) The letter (Exhibit B), dated April 19, 2001, asked Matthew Klug to keep the above patent application confidential, to review the above patent application, and to sign the enclosed

Declaration (Exhibit C) for Patent Application and Assignment (Exhibit A) by April 30, 2001.

3) On April 20, 2001 at 10:29 A.M., the letter (Exhibit B) was delivered to Matthew Klug in

Hudson, Ohio and he acknowledged receiving the letter (Exhibit B) and the above-referenced

attachments (including Exhibit A and Exhibit C), which were assigned FedEx tracking number

464561706910, according to the shipment tracking report of FedEx shipping service. A copy of

the FedEx shipment tracking report is attached hereto as Exhibit D.

4) According to records of Accenture, LLP, Matthew Klug worked at Accenture LLP (formerly

Andersen Consulting LLP) from July, 1998 to January, 2000.

5) Matthew Klug has had the above application, Declaration for Patent Application (Exhibit C),

and Assignment (Exhibit A) from at least April 20, 2001 until present, a period of approximately

80 days. To the best of my knowledge, Matthew Klug has not executed either the declaration or

the assignment as of the date of this declaration of facts.

I hereby declare that all statements made herein of my own knowledge are true and that all

statements made on information and belief are believed to be true; and further that these

statements were made with the knowledge that willful false statements and the like so made are

punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States

Code, and that such willful false statements may jeopardize the validity of the application, any

patent issuing thereon, or any patent to which this verified statement is directed.

Declared in Chicago, Illinois this July 9, 2001.

Dated: July 9, 2001

BRINKS, HOFER, GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200

Docket No. 10022/26 Docket No. 10022/51

ASSIGNMENT

WHEREAS, Shawn Cornelius, Clifford Donoughe, Arnold Z. Huffman, Matthew C. Klug, Richard R. Krahn, Eric C. Su, and Michael S. Sweeney, hereinafter called the "Assignors", have made the invention described in the United States patent application entitled ELECTRONIC SECURITY SYSTEM AND SCHEME FOR A COMMUNICATIONS NETWORK executed by Assignors, filed in the U.S. Patent Office on November 9, 2000, and assigned U.S. Patent Application Serial No. 09/710,155 (Docket No. 10022/26) and PCT Application No. PCT/US 00/42075 (Docket No. 10022/51);

WHEREAS, <u>Accenture LLP</u>, a limited liability partnership organized and existing under the laws of the State of Illinois, having a place of business at 100 S. Wacker Dr., Chicago, Illinois 60603, hereinafter called the "Assignee", desires to acquire the Assignors' entire right, title and interest in and to the invention and the patent application identified above, and all patents which may be obtained for said invention, as set forth below;

NOW, THEREFORE, in consideration of valuable and legally sufficient consideration, the receipt of which by the Assignors from the Assignee is hereby acknowledged, the Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer to the Assignee, the Assignors' entire right, title and interest for the United States in and to the invention and the patent application identified above, and any patents that may issue for said invention in the United States; together with the Assignors' entire right, title and interest in and to said invention and all patent applications and patents therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the Assignors' entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

The Assignors hereby covenant and agree, for both the Assignors and the Assignors' legal representatives, that the Assignors will assist the Assignee in the prosecution of the patent application identified above; in the making and prosecution of any other patent

applications that the Assignee may elect to make covering the invention identified above; in vesting in the Assignee like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignors will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignors have executed this agreement.

DATED:	3/19/01	Scar Cornelius
DATED:	9/12/d	Clifford Donoughe
DATED:	3/19/17	Arnold Z. Huffman
DATED:		Matthew C ₂ Klug
DATED:	4/16/01	Richard R. Krahn
DATED:		Eric C. Su
DATED:	3/19/01	Michael S. Sweeney

STATE OF	
STATE OF) ss.
COUNTY OF)
person whose name is person and acknowled	, a Notary Public in and for the County and reby certify that Shawn Cornelius, personally known to me to be the same s subscribed to the foregoing instrument, appeared before me this day in diged that (s)he signed, sealed and delivered the said instrument as his/her for the uses and purposes therein set forth.
IN WITNESS day of March	WHEREOF, I have hereunto set my hand and Notarial Seal, this
	Milliam R Murphy / Notary Public
(SEAL)	remary rations
My Commission Expi	res: WILLIAM R. MURPHY, JR. Notary Public, State of Ohio.

•

STATE OF	
) ss.
COUNTY OF)
State aforesaid, do hereby same person whose name in person and acknowledge	, a Notary Public in and for the County a certify that Clifford Donoughe, personally known to me to be a subscribed to the foregoing instrument, appeared before me this did that (s)he signed, sealed and delivered the said instrument as his/le uses and purposes therein set forth.
IN WITNE	S WHEREOF, I have hereunto set my hand and Notarial Seal, the search of
	William R Murphy L Notary Public
	Notary Public
(SEAL)	\mathcal{J}
My Commission Expires:	WILLIAM R. MURPHY, JR. Notary Public, State of Ohio Recorded in Lake County My Comm. Expires 12-15-02

STATE OF)	
COUNTY OF) ss.)	
State aforesaid, do hereby same person whose name is	certify that Arnold Z. Is subscribed to the foregod that (s) he signed, sealed	, a Notary Public in and for the County and Huffman, personally known to me to be the oing instrument, appeared before me this day and delivered the said instrument as his/herein set forth.
19 day of March	S WHEREOF, I have h	nereunto set my hand and Notarial Seal, this
		William R Murphy L Notary Public
(SEAL)		,
My Commission Expires: _	WILLIAM R. MURPHY, JR. Notary Public, State of Ohio Recorded in Lake County My Comm. Expires 12-15-02	5 2

STATE OF)	
COUNTY OF) ss.)	
person whose name is sul person and acknowledged free and voluntary act for t	bscribed to the that (s)he sign the uses and put the USS WHEREO	F, I have hereunto set my hand and Notarial Seal, this
(SEAL)		Notary Public
My Commission Expires:		

STATEOF)			
COUNTY OF) ss.)			
person whose name	e is subscribed to rledged that (s)he s	the foregoing insta signed, sealed and	trument, appeared I delivered the said	nd for the County and to me to be the same before me this day in instrument as his/her
16 IN V day of 1	VITNESS WHERI	EOF, I have hereu _, 2001.	unto set my hand a	nd Notarial Seal, this
		$\frac{1}{Not}$	William R	muphy f
(SEAL)			•	
My Commission Ex	CPITES: VVILLIAN	A R. MURPHY, JR. ublic, State of Ohio d in Lake County		·

STATE OF)		
STATE OF COUNTY OF) ss.)		
whose name is subscril acknowledged that (s) voluntary act for the us IN WIT	bed to the foregoing the signed, sealed ses and purposes to	F, I have hereunto set my hand and Notarial Seal,	and and
(SEAL)		Notary Public	
My Commission Expir	es:		

STATE OF)		
COLDIMALOR) ss.		
COUNTY OF)		
State aforesaid, do hereby same person whose name is	certify that Michael S. subscribed to the fore that (s) he signed, seal	_, a Notary Public in and for Sweeney, personally know going instrument, appeared bed and delivered the said instrein set forth.	n to me to be the before me this day
IN WITNES	S WHEREOF, I have, 2001.	hereunto set my hand and l	Notarial Seal, this
		William R Mun Notary Public	phy /
(OPAI)		Notary Public	V / Y
(SEAL)			
My Commission Expires: _	WILLIAM R. MURPHY IR Notary Pipile, Sala & Oli Recorded in Talka Salina Ny Comm. Expiles 12:15:4	: 8 2	



BRINKS HOFER GILSON &LIONE

A Professional Corporation Intellectual Property Attorneys

NBC Tower - Suite 3600 455 N. CITYFRONT PLAZA DRIVE CHICAGO, ILLINOIS 60611-5599 www.brinkshofer.com FAX 312-321-4299 TELEPHONE 312-321-4200

SAN JOSE, CA INDIANAPOLIS, IN ANN ARBOR, MI ARLINGTON, VA

April 19, 2001

Via Federal Express

Mr. Matthew C. Klug 6580 Dunbarton Drive Hudson, Ohio 44236

Re:

ELECTRONIC SECURITY SYSTEM AND SCHEME FOR A COMMUNICATIONS NETWORK Our Case No. 10022/26

Dear Matt:

We have enclosed a copy of the above-identified application, along with a declaration. Please review the application and mail the signed declaration to my attention at the above address no later than April 30, 2001.

The enclosed materials, including the patent application, entitled Electronic Security System and Scheme for a Communications Network, are confidential information or trade secrets of Accenture LLP and must not be disclosed to anyone without the express written permission of Accenture LLP pursuant to the terms of your previous employment with Accenture LLP (formerly Anderson Consulting LLP).

We have enclosed a self-addressed stamped envelope for your convenience.

If you have any questions, please call 312-321-4240.

Very truly yours,

Darin E. Bartholomew

DBA:svb Enclosures





Case No. 10022/26

DECLARATION FOR PATENT APPLICATION

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if

As a below/named inventor, I hereby declare that:

PATENTES idence, post office address and citizenship are as stated below next to my name.

plural names are ELECTRONIC	e listed below) of the subject SECURITY SYSTEM AND	t matter which is claimed and for which SCHEME FOR A COMMUNICATION	th a patent is sought on NS NETWORK, the	on the invention specification of	entitled which:		
	is attached hereto.						
\boxtimes	was filed on November 9, 2000 as Application Serial No. 09/710,155.						
	and was amended on	_ (if applicable).					
	nat I have reviewed and unovament amount amount referred to abo	derstand the contents of the above-ider ve.	ntified specification,	including the cla	aims, as		
	I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a).						
inventor's certif United States, li	icate or § 365(a) of any Posted below and have also id	der 35 U.S.C. § 119(a)-(d) or § 365(b CT International application which de entified below, by checking the box, and having a filing date before that of the approximation	signated at least one ny foreign application	country other to for patent or in	han the ventor's		
Prior Foreign A	pplication(s)			Priority Clai	med		
N/A					\boxtimes		
(Number) (Country)	(Day/Month/Year	Filed)	Yes	No		
I hereby claim th	he benefit under 35 U.S.C. §	119(e) of any United States provisiona	l application(s) listed	below:			
	N/A						
(Applic	ation Serial No.)	(Filing Date)					
application designs not disclosed U.S.C. § 112, 1	gnating the United States, list in the prior United States ot acknowledge the duty to d	E. § 120 of any United States applications sted below and, insofar as the subject of PCT International application in the disclose information which is material date of the prior application and the na	natter of each of the c manner provided by t o patentability as de	laims of this app he first paragrap fined in 37 CFR	lication th of 35		
	N/A			None			
(Applic	ation Serial No.)	(Filing Date)	(Status-patented	, pending, abanc			
I hereby declare			•		loned)		

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610

(312) 321-4200

0	N.I	10022/20
Case	INO.	10022/26

			Case No. 10022/26
	Offed Donos Da		4/12/01
Inventor's Signature		ate: 4	7/12/01
Full name of second joint inventor, if any	Clifford Donoughe		
Residence	Medina, Ohio		
Citizenship	U.S.		······································
Post Office Address	3969 North Huntington Street, Medina, Ohio 44256		· · · · · · · ·
	\wedge 2 i / λ		clicks
Inventor's Signature		ate:	5/17/01
Full name of second joint inventor, if any	Arnold Z. Huffman		, , ,
Residence	Chicago, Illinois		
Citizenship	U.S.		
Post Office Address	3660 North Lakeshore Drive, Apt. 2703, Chicago, Il	llinois 6	0613
Inventor's Signature	Dat	ate:	
Full name of second joint inventor, if any	Matthew C. Klug		
Residence	Hudson, Ohio		
Citizenship	U.S.		
Post Office Address	6580 Dunbarton Drive, Hudson, Ohio 44236		
			.1
Inventor's Signature	Tucker of the Day	ate:	4/16/01
Full name of second joint inventor, if any	Richard R. Krahn	•	//-:``/
Residence	North Lake Elmo, Minnesota		
Citizenship	U.S.		
Post Office Address	5170 Jerome Avenue, North Lake Elmo, Minnesota	55042	
rost Office Address	5170 Jeronie Avende, North Bake Binio, Willinesota	33042	
Inventor's Signature	11/6 A Day	ite:	9/13/01
Full name of second joint inventor, if any	Mohan Kurup	·····	1/13/2/
Residence	Shaker Heights, Ohio		
Ċitizenship	U.S.		
Post Office Address	3610 ToHand Road, Sharker Heights, Ohio 44122		
Fost Office Address	3010 Turvalid kyadi, Shakei Ticighis, Onio 44122		
Inventor's Signature	- G// /W. // Day	ite:	4/17/01
Full name of second joint inventor, if any	Edward Madden Dat	······································	11770
Residence	Oakwood Village, Ohio		
	U.S.		
Citizenship		16	
Post Office Address	6130 Robertdale Road, Oakwood Village, Ohio 4414	40	
Localitation of Company	Danil & Sileh Dal		261
Inventor's Signature	Harris Sileh Dar	ne.	3/26/01
Full name of second joint inventor, if any	David E. Sabaka		
Residence	North Canton, Ohio		
Citizenship	U.S.	700	
Post Office Address	2720 Sutherland Circle NW, North Canton, Ohio 44	720	
			ulsslar
Inventor's Signature	Dar	ite:	10/53/6/
Full name of second joint inventor, if any	Erić Č. Su		
Residence	Foster City, California		
Citizenship	U.S.		
Post Office Address	311 Chesapeake Avenue, Foster City, California 944	404	
	1. 1000		21.612
Inventor's Signature	Muchal S. Sweenery Dal	ite:	3/19/01
full name of second joint inventor, if any	Michael S. Sweeney		
Residence	Parma Heights, Ohio		
Citizenship	U.S.		
Post Office Address	11460 Apache Drive, #204, Parma Heights, Ohio 44		